



Financial Services

Institution **CALIFORNIA LUTHERAN UNIVERSITY**

Type of Institution University

Industry Financial Services

NAICS Code 52X

Department **Business Administration**

Program Name **Finance**

Contact Dr. Charles Maxey

Title

Street 60 West Olsen Rd.

City Thousand Oaks

State CA

Zip Code 91360

Phone 805-493-3360

Fax

Email

Website http://www.callutheran.edu/schools/cas/overview.php?major_id=60

Description *CLU School of Business provides a learning environment in which students can realize their full potential for professional preparation and personal growth. All Business Administration students complete a core managerial program comprised of courses in accounting, business law, finance, organizational behavior, computer information systems, marketing and strategic management. Students can choose a concentration in business economics, finance, international business, marketing, management, information technology management or small business/entrepreneurship.*



Financial Services

Institution CALIFORNIA STATE POLYTECHNIC UNIVERSITY (Cal Poly), POMONA

Type of Institution University

Industry Financial Services

NAICS Code 52X

Department College of Business Administration

Program Name Finance, Real Estate, and Law

Contact Dr. Javad Kashefi

Title Department Chair

Street 3801 W. Temple Avenue

City Pomona

State CA **Zip Code** 91768

Phone 909-869-2396

Fax 909-869-2124

Email Dkashefineja@csupomona.edu

Website <http://www.csupomona.edu/~frl>

Description *The Finance program studies the management flows of funds and claims against funds through corporations, banks, investment companies, insurance companies, or government agencies. The following are areas of concentration the FRL Department offers: General Finance, Security Analyst, Corporate Analyst, Financial Institutions, Multinational Finance, Real Estate Brokerage and Development, Real Estate Finance, Business Law, and Financial Management of Contracts.*



Financial Services

Institution CALIFORNIA STATE UNIVERSITY, DOMINGUEZ HILLS

Type of Institution University

Industry Financial Services

NAICS Code 52X

Department College of Business Administration and Finance

Program Name Accounting and Finance

Contact Mohamed El-Badawi

Title Chairman

Street 1000 E. Victoria Street

City Carson

State CA

Zip Code 90747

Phone 310-243-3557

Fax 310-217-6964

Email mel-badawi@csudh.edu

Website <http://som.csudh.edu/finance>

Description *The Bachelor's degree program in Business Administration with a concentration in Finance offers the following courses: Real Estate Finance and Investment, Financial Institutions Management, Business Forecasting, Multinational Financial Transactions, and Cost Accounting among others.*



Financial Services

Institution CALIFORNIA STATE UNIVERSITY, LONG BEACH (CSULB)

Type of Institution University

Industry Financial Services

NAICS Code 52X

Department College of Business Administration

Program Name Finance

Contact Dr. Hamdi Bilici

Title Chair

Street 1250 Bellflower Blvd.

City Long Beach

State CA

Zip Code 90840

Phone 562-985-1560

Fax 562-985-5742

Email hbilici@csulb.edu

Website <http://www.csulb.edu/colleges/cba/finance/>

Description *The Department of Finance offers two concentrations, financial management and investments. The Department provides its majors an opportunity to participate in its Student Managed Investment Fund (SMIF), an academic year, honors-type, program in which students manage a real-dollar investment portfolio. Faculty in the department are engaged in an eclectic array of scholarly endeavors in the disciplines of both finance and law. More than 600 students major in finance.*



Financial Services

Institution CALIFORNIA STATE UNIVERSITY, LOS ANGELES (CSULA)

Type of Institution University

Industry Financial Services

NAICS Code 52X

Department College of Business and Economics

Program Name Finance and Law

Contact

Title

Street 5151 State University Dr.

City Los Angeles

State CA

Zip Code 90032

Phone 323-343-2870

Fax 323-343-2885

Email

Website <http://cbe.calstatela.edu/fin/index.aspx>

Description *Finance prepares students for positions in both large and small businesses, investments, and financial services. Students receive instruction in capital and current asset management, the sources of capital, securities, dividend policy, operation of the securities markets, investment research, and securities analysis*



Financial Services

Institution CALIFORNIA STATE UNIVERSITY, NORTHRIDGE (CSUN)

Type of Institution University

Industry Financial Services

NAICS Code 52X

Department College of Business and Economics

Program Name Finance, Real Estate, and Insurance

Contact Donal Bleich

Title Interim Department Chair

Street 18111 Nordhoff St., Northridge

City Northridge

State CA **Zip Code** 91330

Phone 818-677-2459

Fax 818-677-6079

Email janice.craig@csun.edu

Website <http://www.csun.edu/finance>

Description *The course of study in the Finance option develops the tools for financial analysis and for the valuation of a variety of types of investments. The Curriculum includes the study of financial policy matters and portfolio management, and analysis of the impact of risk on the individual investor and on the security markets.*



Financial Services

Institution **CERRITOS COLLEGE**

Type of Institution Community College

Industry Financial Services

NAICS Code 52X

Department **Business Education**

Program Name **Business Accounting and Finance**

Contact Michael Farina

Title Deptment Chairperson

Street 11110 Alondra Blvd.

City Norwalk

State CA

Zip Code 90650

Phone 562-860-2451 x2730

Fax

Email mfarina@cerritos.edu

Website <http://www.cerritos.edu/be/busa/busa.htm>

Description *The Accounting Department offers the most comprehensive range of accounting and finance courses offered by the California Community Colleges which include: Financial, Managerial and Cost Accounting; Income Tax and Payroll Tax Accounting; Computerized and Spreadsheet Accounting, Personal Finance and Stock Market Investment; and Occupational Work Experience. A Certificate or A.A. degree is obtained upon completion of the program.*



Financial Services

Institution **GLENDALE COMMUNITY COLLEGE**

Type of Institution Community College

Industry Financial Services

NAICS Code 52X

Department **Business Division**

Program Name **Business Administration – Financial Planning & Investment**

Contact Linda Serra

Title Division Chair

Street 1500 N. Verdugo Rd.

City Glendale

State CA

Zip Code 91208

Phone 818-240-1000 x5525

Fax

Email lserra@glendale.edu

Website <http://www.glendale.edu/business/index.htm>

Description *This Certificate program is intended for the student interested in the business aspect of financial planning. Courses include: Financial Accounting, Income Taxation, Business Communications, Business Law, Financial Statement Analysis, Money and Banking, Investments, Money Management, and CIS.*



Financial Services

Institution **LOS ANGELES CITY COLLEGE**

Type of Institution Community College

Industry Financial Services

NAICS Code 52X

Department **Business Administration**

Program Name **Finance and Banking**

Contact Dr. Thelma Day

Title Chairman

Street 855 N. Vermont Avenue

City Los Angeles

State CA

Zip Code 90029

Phone 323-953-4000 x2541

Fax

Email daytj@lacitycollege.edu

Website <http://www.lacitycollege.edu/academic/departments/busad/index.htm>

Description *The Certificate or Associate in Arts Degree in Banking and Finance program provides training for employment in such fields as banking, corporate finance, stocks and bonds, credits and collections, and savings and loan businesses.*



Financial Services

Institution **LOS ANGELES MISSION COLLEGE**

Type of Institution Community College

Industry Financial Services

NAICS Code 52X

Department **Business**

Program Name **Finance**

Contact Cleveland Rush

Title Program Coordinator

Street 13356 Eldridge Avenue

City Sylmar

State CA **Zip Code** 91342

Phone 818-364-7600 x7728

Fax 818-364-7806

Email ruch@laccd.cc.ca.us

Website <http://www.lamission.edu/business/>

Description *The Finance and Banking curriculum provides an in-depth understanding of the operations of money and capital markets institutions and management. The Finance and Banking curriculum is designed to prepare students for employment in such fields as investing, banking, corporate finance, insurance, savings and loans, credit unions, mortgage banking and related fields. An Associate in Arts degree is awarded upon completion of the program.*

Los Angeles County Economic Development Corporation
The Kyser Center for Economic Research
444 S. Flower St., 34th Fl., Los Angeles, CA 90071
Phone: 888-4-LAEDC-1
www.LAEDC.org



Financial Services

Institution **LOS ANGELES VALLEY COLLEGE**

Type of Institution Community College

Industry Financial Services

NAICS Code 52X

Department **Business Administration**

Program Name **Associate Degeree in Banking and Finance**

Contact Dr. Mary Ellen Pangonis **Title** Department Chair

Street 5800 Fulton Avenue

City Valley Glen **State** CA **Zip Code** 91401

Phone 818-947-2365 **Fax**

Email

Website <http://www.lavc.edu/VocEd1/VEBoa/boabf.html>

Description *The Banking Certificate can lead to positions such as:
Teller, Accounts Desk, Management Trainee.*

*The Finance Certificate can lead to positions such as:
Loan Clerk, Insurance Agent, Brokerage Clerk, Mortgage Clerk, Underwriter, Actuarial Clerk,
Claims Adjuster.*



Financial Services

Institution LOYOLA MARYMOUNT UNIVERSITY (LMU)

Type of Institution University

Industry Financial Services

NAICS Code 52X

Department College of Business Administration

Program Name Finance, Computer Information Systems and Operations Management

Contact Richard J. Perle

Title Department Chair

Street 7900 Loyola Blvd.

City Los Angeles

State CA

Zip Code 90045

Phone 310-338-2929

Fax 310-338-3000

Email rperle@lmu.edu

Website <http://www.lmu.edu/colleges/cba>

Description *The Bachelor of Business Administration degree with an emphasis on finance prepares students for careers in the private and public sectors. Students develop skills in the application of concepts and techniques essential to financial planning, investments, and corporate planning. The computer information systems and operations management emphasis involves the application of computers to meet the information needs of organizations. It prepares students to use modern information technology to solve business problems at the operational, technical, and strategic levels.*



Financial Services

Institution PASADENA CITY COLLEGE

Type of Institution Community College

Industry Financial Services

NAICS Code 52X

Department Business and Computer Technology

Program Name Banking and Finance

Contact Don Paxton

Title Division Dean

Street 1570 E. Colorado Blvd.

City Pasadena

State CA

Zip Code 91106

Phone 626-585-7341

Fax

Email

Website <http://www.pasadena.edu/divisions/business-computertech/>

Description *More than 20 general business courses cover an assortment of topics including principles of business law, financial management, business communication, and human relations. In most courses, students have the opportunity to develop and practice their skills in the area of microcomputers. Many courses are offered for both day and evening students.*



Financial Services

Institution UNIVERSITY OF CALIFORNIA, LOS ANGELES (UCLA)

Type of Institution University

Industry Financial Services

NAICS Code 52X

Department The Anderson School at UCLA

Program Name Finance

Contact Walter Torous

Title Area Chair

Street The Anderson School at UCLA, 110 Westwood Plaza, Box 951481

City Los Angeles

State CA

Zip Code 90095

Phone 310-825-4059

Fax

Email walter.n.torous@anderson.ucla.edu

Website <http://www.anderson.ucla.edu/finance.xml>

Description *Finance addresses the ways in which individuals, business entities and other organizations allocate resources over time. An applied branch of economics dealing with the problems of allocating financial resources, with particular attention given to the art of decision making under conditions of uncertainty, finance is closely allied with the liability side of an organization's balance sheet. At UCLA Anderson, a specialization in Finance includes an examination of the funding markets and processes for financing enterprises, as well as the effects of prices and interest rates on assets and liabilities.*

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www.LAEDC.org



Financial Services

Institution UNIVERSITY OF CALIFORNIA, LOS ANGELES (UCLA) EXTENSION

Type of Institution University

Industry Financial Services

NAICS Code 52X

Department Business and Management

Program Name Certificate in Business with concentration in Finance

Contact Karim Cheris

Title Director

Street 10995 Le Conte Ave., Ste. 515

City Los Angeles

State CA

Zip Code 90024

Phone 310-825-7031

Fax 310-206-7249

Email Business@uclaextension.edu

Website <http://www.uclaextension.edu/>

Description *The Award in General Business Studies is intended for individuals whose unique personal interest or profession requires them to take a series of courses in various disciplines. Students develop a curriculum by selecting nine courses from the various areas of business.*



Financial Services

Institution UNIVERSITY OF SOUTHERN CALIFORNIA (USC)

Type of Institution University

Industry Financial Services

NAICS Code 52X

Department Finance and Business Economics

Program Name Finance and Business Economics

Contact Fernando Zapatero

Title Chair

Street Popovich Hall, Room 701, University of Southern California

City Los Angeles

State CA

Zip Code 90089

Phone 213-740-6538

Fax 213-740-6650

Email zapatero@marshall.usc.edu

Website http://www.marshall.usc.edu/web/FBE.cfm?doc_id=1454

Description *The Finance and Business Economics Department seeks to examine the decision-making process and the role of markets in the allocation of both real and financial resources. Integrating the fields of finance and business economics, its faculty explores both theoretical and applied concepts in the related areas of corporate finance, investments, speculative and financial markets, real estate, insurance, banking, industrial organization and public policy towards business. Balanced emphasis is placed on both primary theory and its application of business problems.*



Financial Services

Institution **WHITTIER COLLEGE**

Type of Institution Community College

Industry Financial Services

NAICS Code 52X

Department **Business Administration**

Program Name **Finance**

Contact Charles R. Laine

Title Chair

Street 13406 Philadelphia St., P.O. Box 634

City Whittier

State CA

Zip Code 90608

Phone 562-907-4200 x4329

Fax 562-907-4956

Email claine@whittier.edu

Website <http://www.whittier.edu/academic/business>

Description *The major provides a broad overview of general management and in-depth study in accounting, finance, international business, management, and marketing.*



Financial Services

Institution **WOODBURY UNIVERSITY**

Type of Institution University

Industry Financial Services

NAICS Code 52X

Department **Business and Management Studies**

Program Name **Business and Management-Finance**

Contact Robert L. Bjorklund

Title Department Chair

Street 7500 Glenoaks Blvd.

City Burbank

State CA

Zip Code 91510

Phone 818-252-5262

Fax

Email robert.bjorklund@woodbury.edu

Website <http://www.woodbury.edu/programs/business>

Description *Woodbury University offers a major in Business & Management leading to the Bachelor of Science degree. This major provides a foundational core of business education. Depending on career objectives or present employment, and student interest and demand, a student who decides to pursue a Business & Management major selects one of six areas of concentration: e-Commerce, entrepreneurship, finance, human resources development, international business or management. Each area of concentration has particular course requirements which must be met to earn a degree. These requirements are outlined below. The Business & Management major is delivered in three formats: Undergraduate Day and Evening, The Accelerated Degree Program, and Weekend College.*



Financial Services

Institution **AMERICAN BANKERS ASSOCIATION (ABA)**

Type of Institution Association

Industry Financial Services

NAICS Code 52X

Department

Program Name

Contact Ann Gloeckner

Title

Street 1120 Connecticut Ave., N.W.

City Washington DC

State

Zip Code 20036

Phone 202-663-5129

Fax 202-828-4540

Email agloeckn@aba.com

Website http://www.aba.com/About+ABA/MEM_main.htm

Description *The American Bankers Association represents banks of all sizes on issues of national importance for financial institutions and their customers. The ABA, which was founded in 1875, brings together all categories of banking institutions, including community, regional and money center banks and holding companies, as well as savings associations, trust companies and savings banks. Its mission is to serve its member banks and enhance their role as pre-eminent providers of financial services. ABA accomplishes this mission through federal legislative and regulatory activities, legal action, communication and consumer education, research and products and services that promote, educate, train, inform and support members.*



Financial Services

Institution **CALIFORNIA ASSOCIATION OF INDEPENDENT BROKER DEALERS
(CAIBD)**

Type of Institution Association

Industry Financial Services

NAICS Code 52X

Department

Program Name

Contact

Title

Street

City

State

Zip Code

Phone

Fax

Email

Website

Description

Los Angeles County Economic Development Corporation
The Kyser Center for Economic Research
444 S. Flower St., 34th Fl., Los Angeles, CA 90071
Phone: 888-4-LAEDC-1
www.LAEDC.org



Financial Services

Institution **CALIFORNIA BANKERS ASSOCIATION (CBA)**

Type of Institution Association

Industry Financial Services

NAICS Code 52X

Department

Program Name

Contact Curtis Paullins

Title

Street 201 Mission St., Suite 2400

City San Francisco

State CA

Zip Code 94105

Phone 916-438-4430

Fax

Email cpaullins@calbankers.com

Website <http://www.calbankers.com>

Description *Established in 1891, the California Bankers Association is the primary trade group representing 90% of California's commercial banks and industrial loan companies, thrifts, and newly open to savings institutions. CBA works to enhance the profitability, leadership and image of state chartered banks, national banks, trust companies, savings institutions and industrial loan companies. CBA benefits include: publication (Compensation and Benefits Benchmark Survey), meetings and programs, on-line training, and government relations*



Financial Services

Institution **SECURITIES INDUSTRY AND FINANCIAL MARKETS ASSOCIATION (SIA)**

Type of Institution Association

Industry Financial Services

NAICS Code 52X

Department

Program Name

Contact

Title

Street 120 Broadway, 35th Floor

City New York

State NY

Zip Code 10271

Phone 212-608-1500

Fax 212-608-1604

Email

Website <http://www.sifma.org/>

Description *The Securities Industry and Financial Markets Association(SIFMA) represents the industry which powers the global economy. Born of the merger between The Securities Industry Association and The Bond Market Association, SIFMA is the single powerful voice for strengthening markets and supporting investors -- the world over. Our dynamic, new organization is passionately dedicated to representing more than 650 member firms of all sizes, in all financial markets in the U.S. and around the world. We are committed to enhancing the public's trust and confidence in the markets, delivering an efficient, enhanced member network of access and forward-looking services, as well as premiere educational resources for the professionals in our industry and the investors whom they serve.*



Financial Services

Institution **THE FINANCIAL PLANNING ASSOCIATION LOS ANGELES CHAPTER**

Type of Institution Association

Industry Financial Services

NAICS Code 52X

Department

Program Name

Contact Mark Rothstein

Title

Street P.O. Box 11378

City Burbank

State CA Zip Code 91510

Phone 1-800-722-7173

Fax 818-843-7423

Email tristaretg@aol.com

Website <http://www.fpala.org>

Description *The FPA's individual members include financial planners, many of whom hold the CFP certification or are pursuing CFP certification. Other individual members include practitioners such as accountants, attorneys, bankers, charitable giving specialists, insurance agents, stockholders, money managers, investment consultants, broker-dealer and corporate executives, and others who champion the financial planning process.*

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www.LAEDC.org



Financial Services

Institution **CFA SOCIETY OF LOS ANGELES, INC. (CFALA)**

Type of Institution Association

Industry Financial Services

NAICS Code 52X

Department

Program Name

Contact

Title

Street 555 W. 5th Street, 31st Floor

City Los Angeles

State CA

Zip Code 90013

Phone 213-627-1500

Fax 213-341-1164

Email info@cfala.org

Website <http://www.cfala.org/>

Description *Established in 1931, the CFA Society of Los Angeles (the Society) is a network of investment management professionals in the greater Los Angeles area, CFA Society of Los Angeles is a member society of CFA Institute. The mission of the Society is to provide access to information, expertise and personal interaction to further members' professional development and to promote the value of the profession and the CFA designation. The Society strives to fulfill this purpose as: a local Forum bringing together people, knowledge and practical experience, a respected Partner with other organizations for the purpose of serving mutual interests and the meaningful exchange of ideas, a Premier Source for professional resources and development , a powerful Voice representing ethical standards of practice and the interests and value of the profession.*

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www.LAEDC.org



Financial Services

Institution **THE FINANCIAL INDUSTRY REGULATORY INDUSTRY – DISTRICT 2**

Type of Institution Association

Industry Financial Services

NAICS Code 52X

Department

Program Name

Contact Director: David A. Green

Title

Street 300 S. Grand Ave., Suite 1600

City Los Angeles

State CA

Zip Code 90071

Phone 213-627-2300

Fax 213-617-3299

Email

Website <http://www.finra.org>

Description *The Financial Industry Regulatory Authority (FINRA), is the largest non-governmental regulator for all securities firms doing business in the United States. All told, FINRA oversees nearly 5,100 brokerage firms, about 174,000 branch offices and more than 672,000 registered securities representatives. Created in July 2007 through the consolidation of NASD and the member regulation, enforcement and arbitration functions of the New York Stock Exchange, FINRA is dedicated to investor protection and market integrity through effective and efficient regulation and complementary compliance and technology-based services. FINRA touches virtually every aspect of the securities business—from registering and educating industry participants to examining securities firms; writing rules; enforcing those rules and the federal securities laws; informing and educating the investing public; providing trade reporting and other industry utilities; and administering the largest dispute resolution forum for investors and registered firms. It also performs market regulation under contract for The NASDAQ Stock Market, the American Stock Exchange, the International Securities Exchange and the Chicago Climate Exchange.*

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www.LAEDC.org



Financial Services

Institution **SOUTHERN CALIFORNIA ASSOCIATION FOR FINANCIAL PROFESSIONALS (SCAFP)**

Type of Institution Association

Industry Financial Services

NAICS Code 52X

Department

Program Name

Contact

Title

Street 210 N. Glenoaks Blvd. Ste. C

City Burbank

State CA

Zip Code 91502

Phone 818-843-5660

Fax 818-843-7423

Email scafp@emaoffice.com

Website <http://www.scafp.net>

Description *The Southern California Assoc. for Financial Professionals (SCAFP), formerly known as Treasury Association of Southern California (TASC), is a non-profit organization established to provide a forum for open exchange of ideas, concepts and techniques related to the field of cash management. The purpose of the association includes: The dissemination of cash management information; Solving problems involving treasury management; Encouraging and supporting appropriate legislation; Providing the membership with a facility for education and contacts within the cash management community. Its mission is to serve the professional needs of corporate treasury management professionals including practitioners and service providers in Southern California.*

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Phone: 888-4-LAEDC-1
www.LAEDC.org



Financial Services

Institution **DEPARTMENT OF FINANCIAL INSTITUTIONS (DFI)**

Type of Institution Financial Regulators

Industry Financial Services

NAICS Code 52X

Department

Program Name

Contact

Title

Street 300 S. Spring St., Suite 15513

City Los Angeles

State CA

Zip Code 90013

Phone 213-897-2085

Fax 213-897-8860

Email

Website <http://www.dfi.ca.gov/>

Description *The Department of Financial Institutions regulates the safety and soundness of California's state chartered financial institutions. This is accomplished by encouraging observance of sound banking and business practices as well as by performing financial examinations of licensees. The Department licenses and regulates state-licensed banks, state-licensed credit unions, state-licensed savings and loans, trust companies, state-licensed offices of foreign banks, business and industrial development corporations, issuers of travelers checks and payment instruments (money orders), transmitters of money abroad, and state-licensed industrial banks. The Department's programs are supported by assessment of licensees, license and application fees and charges for various other services.*

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444 S. Flower St., 34th Fl., Los Angeles, CA 90071
Phone: 888-4-LAEDC-1
www.LAEDC.org



Financial Services

Institution **FEDERAL DEPOSIT INSURANCE CORPORATION (FDIC)**

Type of Institution Financial Regulators

Industry Financial Services

NAICS Code 52X

Department

Program Name

Contact

Title

Street 550 17th Street, N.W.

City Washington DC

State

Zip Code 20429

Phone 202-393-8400

Fax 800-925-4618

Email

Website <http://www.fdic.gov>

Description *The FDIC's mission is to maintain the stability of and public confidence in the nation's financial system. To achieve this goal, the FDIC has insured deposits promoted safe and sound banking practices since 1933. The FDIC, in conjunction with other federal and state regulatory agencies, examines financial institutions to ensure they are conducting business in compliance with consumer protection rules and in a way that minimizes risk to their customers and to the deposit insurance funds. There are five categories of examinations: Community Reinvestment Act, Compliance, Information Systems and E-banking, Safety and Soundness, and Trust.*



Financial Services

Institution **FEDERAL DEPOSIT INSURANCE CORPORATION (FDIC)
SAN FRANCISCO REGIONAL OFFICE**

Type of Institution Financial Regulators

Industry Financial Services

NAICS Code 52X

Department

Program Name

Contact

Title

Street 25 Ecker St., Suite 2300

City San Francisco

State CA **Zip Code** 94105

Phone 415-546-0160

Fax

Email

Website <http://www.fdic.gov>

Description *Area of responsibility: Alaska, Arizona, California, Guam, Hawaii, Idaho, Montana, Nevada, Oregon, Utah, Washington, Wyoming*

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The Kyser Center for Economic Research
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www.LAEDC.org**



Financial Services

Institution **FEDERAL HOME LOAN BANK OF SAN FRANCISCO**

Type of Institution Financial Regulators

Industry Financial Services

NAICS Code 52X

Department

Program Name

Contact

Title

Street 600 California Street

City San Francisco

State CA Zip Code 94108

Phone 415-616-1000

Fax 415-616-2626

Email

Website <http://www.fhlbsf.com>

Description *The Federal Home Loan Bank of San Francisco serves and is owned by its member financial institutions, which include commercial banks, savings institutions, credit unions, and industrial loan companies headquartered in Arizona, California, and Nevada. These members use their access to the Bank's innovative and competitively prices credit products to: Offer credit products that meet the needs of their customers; Lower their cost of funds; Enhance their asset/liability management; Hold less liquidity on their books; and Reduce their exposure to interest rate risk, basis risk, and prepayment risk.*



Financial Services

Institution **FEDERAL RESERVE BANK OF SAN FRANCISCO**

Type of Institution Financial Regulators

Industry Financial Services

NAICS Code 52X

Department

Program Name

Contact Richard Watada

Title

Street 101 Market Street

City San Francisco

State CA

Zip Code 94105

Phone 415-974-2157

Fax

Email

Website <http://www.frbsf.org>

Description *The Federal Reserve's responsibilities include managing the nation's supply of money and credit; regulating certain banking institutions to ensure their safety and soundness; and Serving as a bank for depository institutions and the federal government. The Reserve Banks provide banking services to depository institutions, such as maintaining reserve and clearing accounts; collecting checks; electronically transferring funds; and distributing currency and coin. The Federal Reserve is also fiscal agent to the federal government.*



Financial Services

Institution **FEDERAL RESERVE BANK LOS ANGELES BRANCH**

Type of Institution Financial Regulators

Industry Financial Services

NAICS Code 52X

Department

Program Name

Contact John Sosbee

Title

Street 950 S. Grand Avenue

City Los Angeles

State CA

Zip Code 90015

Phone 415-974-3090

Fax

Email

Website <http://www.frbsf.org>

Description *The Federal Reserve's responsibilities include managing the nation's supply of money and credit; regulating certain banking institutions to ensure their safety and soundness; and Serving as a bank for depository institutions and the federal government. The Reserve Banks provide banking services to depository institutions, such as maintaining reserve and clearing accounts; collecting checks; electronically transferring funds; and distributing currency and coin. The Federal Reserve is also fiscal agent to the federal government.*

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www.LAEDC.org



Financial Services

Institution **U.S. SECURITIES AND EXCHANGE COMMISSION (SEC) Headquarters
(Division of Corporate Finance)**

Type of Institution Financial Regulators

Industry Financial Services

NAICS Code 52X

Department

Program Name

Contact John W. White

Title Director

Street 100 F St., N.E.

City Washington DC

State **Zip Code** 20549

Phone 202-551-3110

Fax

Email help@sec.gov

Website http://www.sec.gov

Description *The primary mission of the U.S. Securities and Exchange Commission (SEC) is to protect investors and maintain the integrity of the securities markets. The SEC requires public companies to disclose meaningful financial and other information to the public, which provides a common pool of knowledge for all investors to use to judge for themselves if a company's securities are a good investment. The SEC also oversees other key participants in the securities world, including stock exchanges, broker-dealers, investment advisors, mutual funds, and public utility holding companies.*



Financial Services

Institution **U.S. SECURITIES AND EXCHANGE COMMISSION (SEC) Los Angeles Regional Office**

Type of Institution Financial Regulators

Industry Financial Services

NAICS Code 52X

Department

Program Name

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